

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 00229.0028 NPUS00

First Inventor MUTTITT

Title System and Method for Providing Targeted E-Mail

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ /
Prior application information: Examiner _____ Group / Art Unit _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22930 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name
Howrey Simon Arnold & White, LLP

Address
1299 Pennsylvania Avenue, N.W.

City Washington State DC Zip Code 20004

Country USA Telephone 202-783-0800 Fax 202-383-6610

Name (Print/Type) Michael J. Bell/Donna D. King Registration No. (Attorney/Agent) 36,604/45,962
Signature *Donna D. King* Date December 14, 2001

HOWREY SIMON ARNOLD & WHITE, LLP

Box No. 34

1299 Pennsylvania Avenue, N.W.

Washington, D.C. 20004-2402

(202) 783-0800

Attorney Docket No. 00229.0028.NPUS00

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Norman MUTTITT *et al.*

For: **System and Method for Providing Targeted E-Mail**

Enclosed are:

☒ 5 sheets of drawings.

☐ An assignment of the invention to _____
Form PTO-1595.

☐ An associate power of attorney.

☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

☐ Executed Power of Attorney from Assignee

☐ Executed Declaration for Patent Application.

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$ 370.00	OR		\$ 740.00
TOTAL CLAIMS	24 -20 =	4	x 9 =		OR	x 18 =	72.00
INDEP. CLAIMS	4 -3 =	1	x 42 =		OR	x 84 =	84.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 140 =		OR	+ 280 =	
			TOTAL		OR	TOTAL	\$896.00

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

☐ Please charge my Deposit Account No. 08-3038 in the amount of \$_____. A duplicate copy of this sheet is attached.

☐ Please charge my credit card in the amount of \$_____. A form PTO-2038, Credit Card Payment Form is attached in duplicate.

☒ A check in the amount of \$896.00 to cover the basic filing fee and excess claims fee is enclosed.

☒ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-3038 referencing docket number 00229.0028.NPUS00. A duplicate copy of this sheet is attached.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

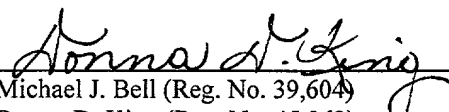
☐ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-3038 referencing docket number *. A duplicate copy of this sheet is enclosed.

☐ Any patent application processing fees under 37 CFR 1.17

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date December 14, 2001


Michael J. Bell (Reg. No. 39,604)
Donna D. King (Reg. No. 45,962)



1299 PENNSYLVANIA AVE., NW
WASHINGTON, DC 20004-2402
PHONE 202.783.0800
FAX 202.383.6610
A LIMITED LIABILITY PARTNER

December 14, 2001

Box Patent Application

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Non-Provisional Utility Patent Application
Appl. No. To be assigned; Filed: December 14, 2001
For: **System and Method for Providing Targeted E-Mail**
Inventors: Norman MUTTITT and Ladonna SUMPTER
Our Ref: 00229.0028.NPUS00

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Utility Patent Application Transmittal PTO/SB/05;
2. PTO Form 1082;
3. U.S. Utility Patent Application entitled:

System and Method for Providing Targeted E-Mail

and naming as inventors: Norman MUTTITT and Ladonna SUMPTER

the application comprising:

- a. A specification containing:
 - (i) 20 pages of description prior to the claims;
 - (ii) 5 pages of claims (24 claims);

Commissioner for Patents

December 14, 2001

Page 2

- (iii) a one (1) page abstract;
- b. 5 sheets of drawings: (Figures 1, 2A, 2B, 3, 4A and 4B);
4. Our check No. 005183 for \$896.00 to cover:
- \$ 740.00 filing fee for patent application;
\$ 156.00 fee for excess claims; and
5. Two (2) return postcards.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration.

Respectfully submitted,

Donna D. King
Michael J. Bell (Reg. No. 39,604)
Donna D. King (Reg. No. 45,962)

Enclosures